

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	2	("20030033228"   "20030084053").PN.	US-PGPUB; USPAT	OR	ON	2008/04/08 10:53
S2	0	(risk near automated near detection) and (investor and data)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:17
S3	0	(risk near automated near detection) and (investor)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:17
S4	1	(risk near automated near detection)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:17
S5	211	(risk near detection) and (automated)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:21
S6	0	(investment near risk near detection)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:25
S7	176	(investment near risk) and (detect\$4)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:25
S8	0	(investment near risk) and (detect\$4) and (automat*)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:25
S9	121	(investment near risk) and (detect\$4) and (automat \$3)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:26
S10	71	(investment near risk) and (detect\$4) and (automat \$3) and (alert)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:26
S11	71	(investment near risk) and (detect\$4) and (automat \$3) and (alert) and (data)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:26
S12	71	(risk near detection) and (automated) and (alert)	US-PGPUB; USPAT	AND	ON	2008/04/09 16:35
S13	13	("2003/0084053").URPN.	USPAT	OR	ON	2008/04/10 15:45
S14	1	("2003/0033228").URPN.	USPAT	OR	ON	2008/04/10 15:52
S15	0	(10/262648).CCLS.	USPAT; USOCR	OR	OFF	2008/04/10 15:57
S16	3	10/262648	US-PGPUB; USPAT	OR	ON	2008/04/10 15:57
S17	5	(predict\$4 near (outcome or result or risk)) near (investment)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:19
S18	271	(predict\$4 near (outcome or result or risk)) and (investor)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:25

S19	0	(scenerio) and (predict\$4 near (outcome or result or risk)) and (investor)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:52
S20	129	(scenario) and (predict\$4 near (outcome or result or risk)) and (investor)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:52
S21	10	("4713775"   "4745468"   "4872122"   "5774656"   "5862223"   "5875108"   "6094674"   "6223165"   "6366956"   "6446200"). PN. OR ("7155510").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/10 17:16
S22	4	(detect near risk) and (security and investment) and data	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:10
S23	0	(detect near (risk and problem and issue)) and (security and investment)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:18
S24	57	(detect near (risk or problem or issue)) and (security and investment)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:18
S25	41	(detect near (risk or problem or issue)) and (stocks and investment)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:42
S26	0	(detect near (trading near risk))	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:57
S27	0	detect near (trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:57
S28	205	(trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:58
S29	19	(detect or spot or identify or discover or notice) near (trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 09:01
S30	42	(detect or spot or identify or discover or notice) same (trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 09:05
S31	2	("2004/0215545").URPN.	USPAT	OR	ON	2008/04/11 10:15
S32	383	(detect near (risk or problem or issue)) and (stocks)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:23
S33	383	(detect near (risk or problem or issue)) and (stock)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:23

S34	4	(detect near (risk or problem or issue or behavior)) SAME (trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:30
S35	112	(detect near (risk or problem or issue or behavior)) and (trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:30
S36	1	(detect near (risk or problem or issue or behavior)) and (securit\$3 near trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:35
S37	31	((detect or discover or identify or notice) near (risk or problem or issue or behavior)) and (securit\$3 near trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:37
S38	2251406	2002/0123949 A1	US-PGPUB; USPAT	OR	ON	2008/04/11 15:46
S41	1	10/024128	US-PGPUB; USPAT	OR	ON	2008/04/11 15:47
S42	1	08/791724	US-PGPUB; USPAT	OR	ON	2008/04/11 16:00
S43	0	("20030084053A1"   "10341073"   "10379425"   "10665712").PN.	USPAT	OR	ON	2008/04/14 08:26
S44	0	("20030084053"   "10341073"   "10379425"   "10665712").PN.	USPAT	OR	ON	2008/04/14 08:27
S45	3344	705/35.CCLS.	US-PGPUB; USPAT	OR	ON	2008/04/15 17:07
S46	6	("5918217"   "6018722"   "6064985"   "6405179"   "6484151"   "6601044").PN. OR ("7249080").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/16 11:01
S47	45	("4346442"   "4823265"   "5126936"   "5132899"   "5193056"   "5262942"   "5612682"   "5644727"   "5675746"   "5884286").PN. OR ("6064985").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/16 11:03
S48	1	("6064985").PN.	USPAT; USOCR	OR	OFF	2009/01/06 16:20
S49	57	(risk adj detection) and (Stock)	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:43
S50	183	(risk adj detection) and (Stock or securit\$3)	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:46

S51	153	(risk adj detection) and (securit\$3)	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:48
S52	10	(risk adj detection) and (securit\$3) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:50
S53	22	(risk adj detect\$3) and (securit\$3) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:55
S54	13	(risk adj detect\$3) and (market) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 18:07
S55	25	(risk adj detect\$3) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 18:08
S56	13	switch\$3 with "mutual funds"	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/22 17:31
S57	0	switch\$3 with "mutual funds" with behavior	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/22 17:59
S58	5	switch\$3 with "mutual funds" and behavior	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/22 18:13
S59	1	identify with household with (multiple or plurality) with account	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/23 17:52
S60	4	identify with (household or family) with (multiple or plurality) with account	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/23 17:53

5/ 24/ 2009 1:09:47 AM

C:\ Documents and Settings\ echang1\ My Documents\ EAST\ Workspaces\ Applicaiton -- 10686462 -- Protection of broker and investor relationships accounts and transactions.wsp